

# Negative-Aware Collaborative Filtering

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## Motivation

With the rapid development of online services over the last decade, **collaborative filtering (CF)** is a common powerful approach that generates user recommendations. Existing traditional CF treating the majority of **unseen interactions as negative ones**. Yet this may introduce noise into the modeling process as unseen interactions are not necessarily to be negative instances.

### Disadvantages of Traditional CF:

- ✗ Treating the majority of unseen interactions as negative ones.
- ✗ Introducing noise into the modeling process.
- ⚠ If a user has not purchased a certain item, the user is not interested in it.

### Approach:

- Quantifying the degree of uncertainty for unseen associations by leveraging user preference similarity.
- Modeling the likelihood of each unseen association being a potentially positive user preference.

## Methodology

### Negative-aware matrix construction:

- Negative-aware Matrix:

$$n_{ui} = \begin{cases} 0 & \text{if } \sum_{k=1}^{|U|} \hat{s}_{uk} a_{ki} = 0, \\ \frac{\left(\sum_{k=1}^{|U|} \hat{s}_{uk} a_{ki}\right)}{\left(\sum_{k=1}^{|U|} \mathbb{1}_{\{\hat{s}_{uk} > 0\}} a_{ki}\right)} & \text{otherwise.} \end{cases}$$

where  $a_{ki} \in \textcircled{2}$  and  $\hat{s}_{uk} \in \textcircled{3}$ .

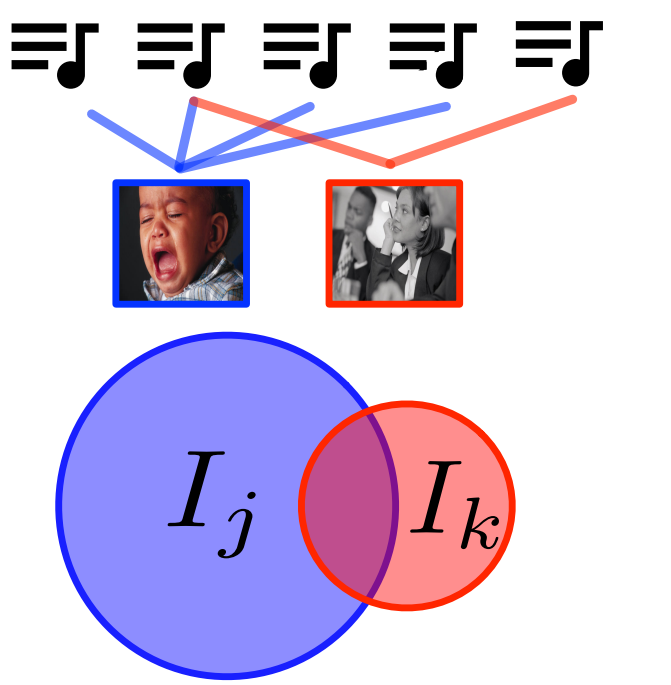
- Asymmetric user preference similarity matrix:

$$\hat{s}_{jk} = \frac{|I_j \cap I_k|}{|I_k|}$$

### Negative-aware pointwise and pairwise approaches:

$$\textcircled{5} \mathcal{L}_{MF}^N = \sum_{u,i} a_{ui} (1 - \theta_u^T \theta_i)^2 + (1 - a_{ui}) (n_{ui} - \theta_u^T \theta_i)^2 + \lambda \|\Theta\|_2^2$$

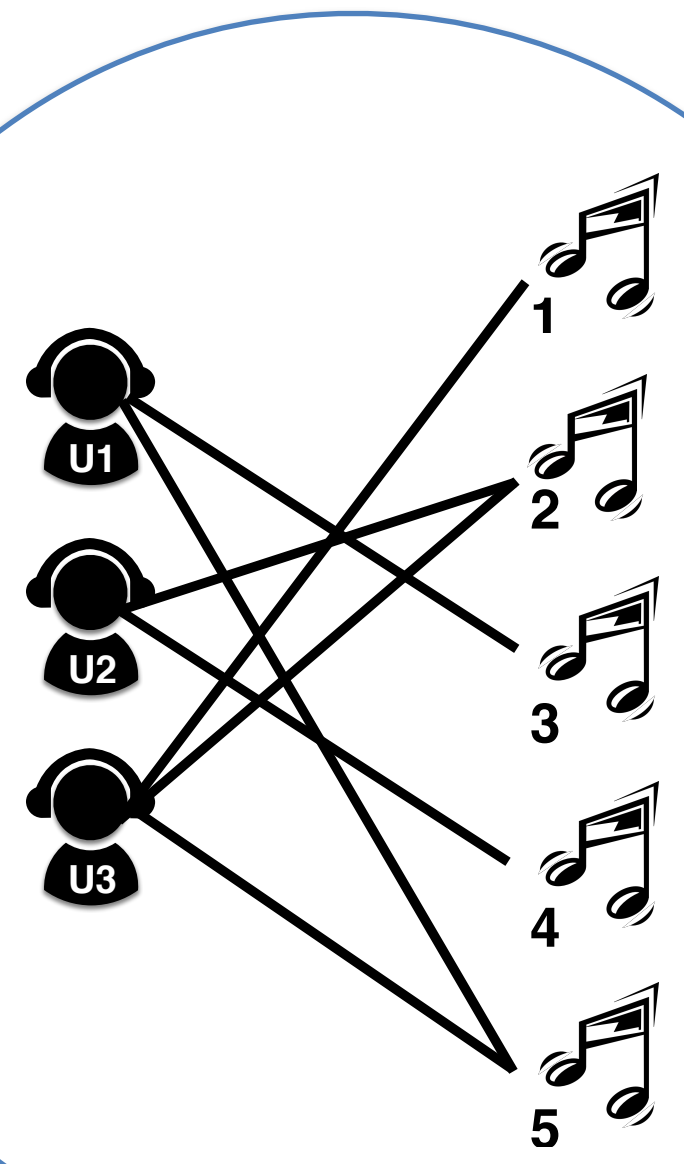
$$\textcircled{5} \mathcal{L}_{BPR}^N = - \sum_{u,(i,i')} \log\left(\frac{1}{n_{ui'}}\right) \log \sigma(\theta_u^T \theta_i - \theta_u^T \theta_{i'}) + \lambda \|\Theta\|_2^2$$



## 1 Bipartite graph

## 3 User preference similarity matrix

## 5 Negative-aware CF



Adjacency matrix A

	1	2	3	4	5
U1	1	1	1	1	0
U2	1	0	1	0	0
U3	0	1	1	0	1
U4	1	0	0	0	0
U5	0	1	0	0	1

User preference similarity matrix S-hat

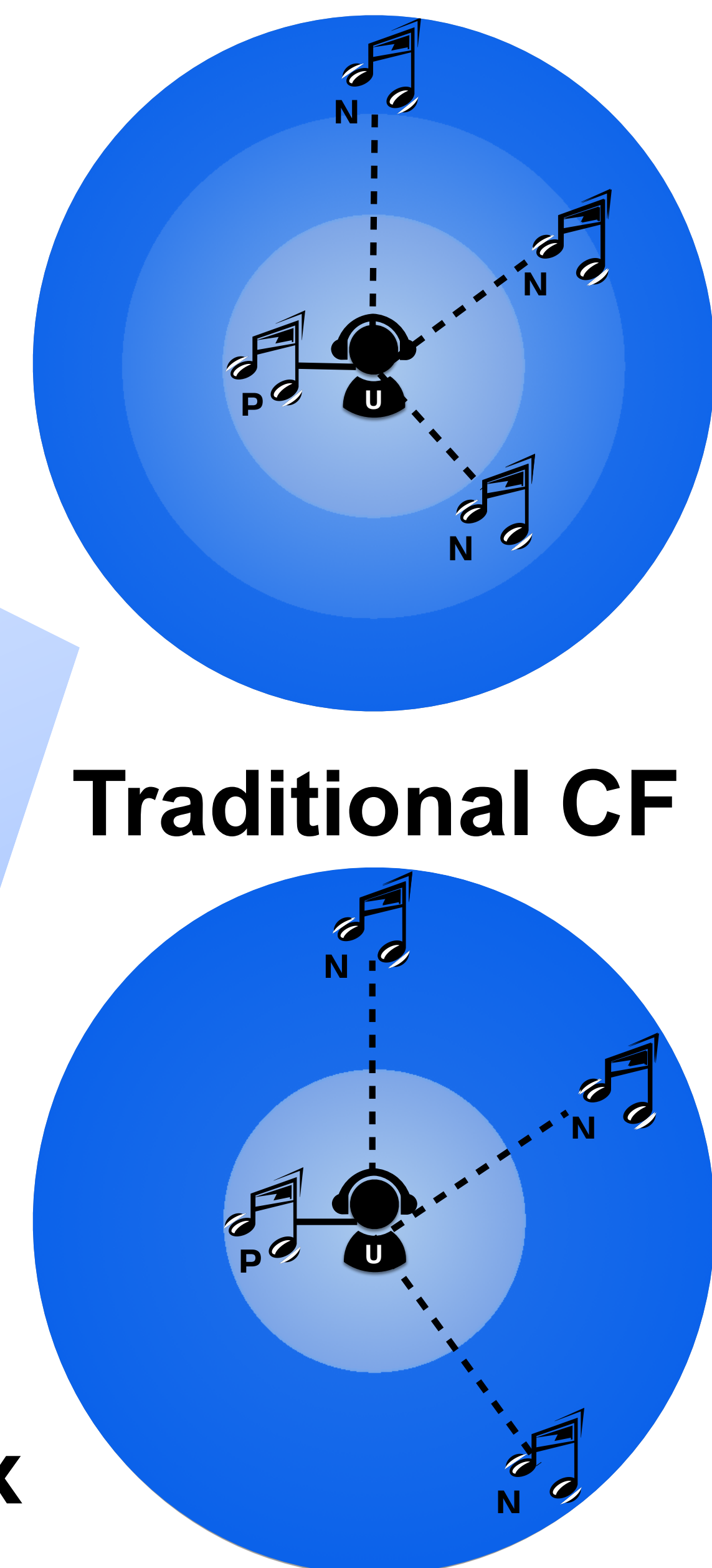
	U1	U2	U3	U4	U5
U1	1	1	0.66	1	0.5
U2	0.5	1	0.33	1	0
U3	0.5	0.5	1	0	1
U4	0.25	0.5	0	1	0
U5	0.25	0	0.66	0	1

Negative-aware matrix

	1	2	3	4	5
U1	1.00	0.72	0.88	1.00	0.58
U2	0.83	0.42	0.61	0.50	0.33
U3	0.50	0.83	0.67	0.50	1.00
U4	0.58	0.25	0.38	0.25	0.00
U5	0.25	0.64	0.46	0.25	0.83

## 2 Adjacency matrix

## 4 Negative-aware matrix



## Experiments

### Dataset:

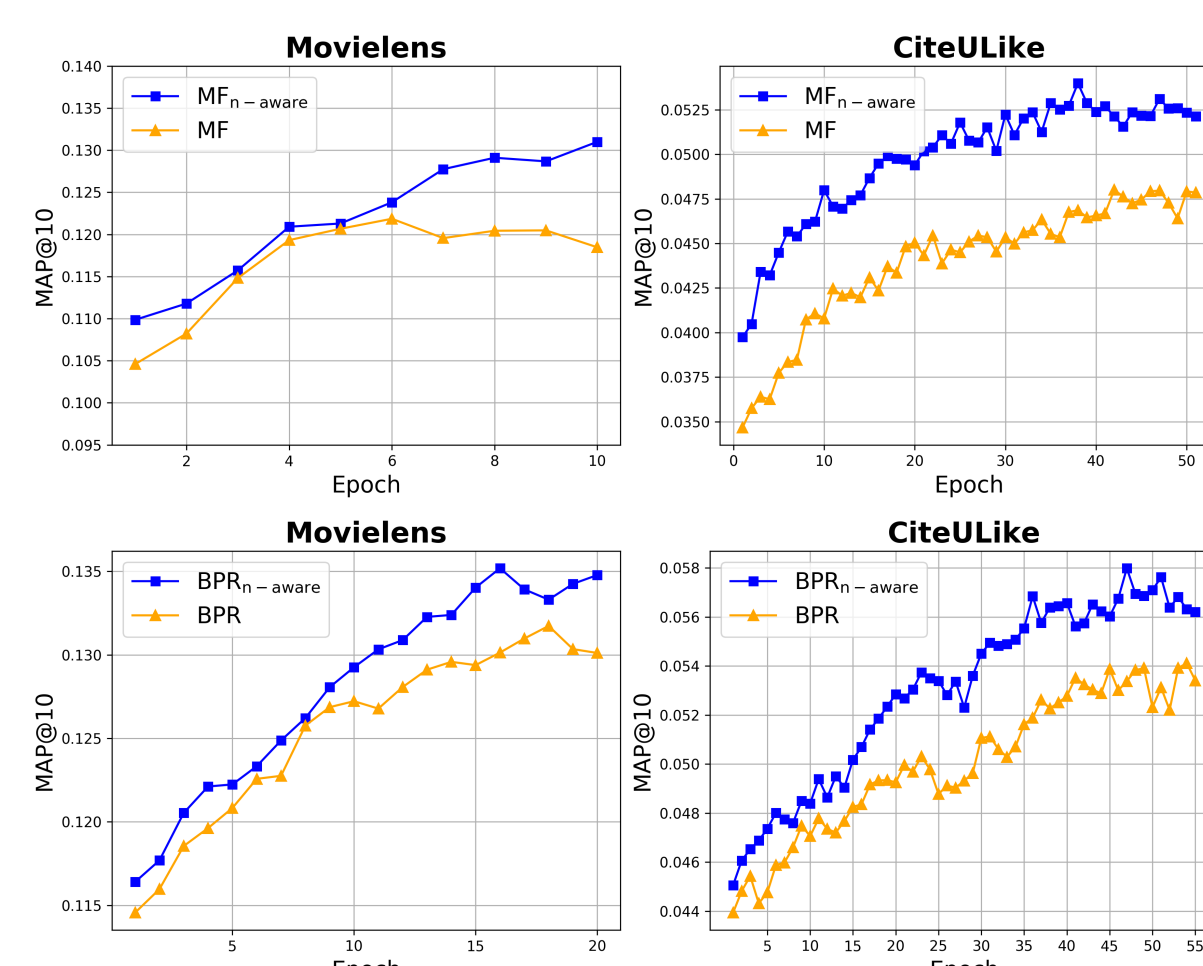
- MovieLens-100k: Users to Movies Data
- Citeulike: Citation Data

### Baseline of CF approach:

- Pointwise: Matrix Factorization (MF)
- Pairwise: Bayesian Personalized Ranking (BPR)

### Top-N recommendation:

Dataset	Movielens				CiteULike			
	P@5	MAP@5	P@10	MAP@10	P@5	MAP@5	P@10	MAP@10
MF	0.237	0.169	0.199	0.123	0.060	0.058	0.045	0.048
MF <sub>n-aware</sub>	<b>*0.241</b>	<b>*0.173</b>	<b>*0.202</b>	<b>*0.125</b>	<b>0.062</b>	<b>*0.061</b>	<b>*0.048</b>	<b>*0.052</b>
BPR	0.257	0.189	0.211	0.136	0.064	0.064	0.049	0.054
BPR <sub>n-aware</sub>	<b>*0.262</b>	<b>***0.195</b>	<b>**0.214</b>	<b>**0.140</b>	<b>*0.066</b>	<b>0.066</b>	<b>*0.050</b>	<b>0.055</b>



- Observation 1: Negative-aware works in both two approaches.
- Observation 2: Negative-aware models are generally capable of maintaining better performance than the traditional models at each training epoch.

## Conclusion

### Remarks:

- Negative-aware collaborative filtering ...
  - ✓ explicitly addresses the uncertainty of unseen user-item associations by leveraging asymmetric user preference.
  - ✓ can be seen as a **generic device** applicable to other recommendation algorithms with the use of negative sampling.

- Empirical results show that our approach improves the performance of both pointwise and pairwise recommendation models.

### Takeaway:

- Negative-aware approach initiates a study of further tailoring negative sampling by quantifying the degree of uncertainty for unseen associations.

Paper link

